Contrast gain control in first- and second-order motion perception

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A novel pedestal-plus-test paradigm is used to determine the nonlinear gain-control properties of the first-order (luminance) and the second-order (texture-contrast) motion systems, that is, how these systems' responses to motion stimuli are reduced by pedestals and other masking stimuli. Motion-direction thresholds were measured for test stimuli consisting of drifting luminance and texture-contrast-modulation stimuli superimposed on pedestals of various amplitudes. It was found that first-order motion-direction thresholds are unaffected by small pedestals, but at pedestal contrasts above 1–2% (5–10 pedestal threshold), motion thresholds increase proportionally to pedestal amplitude (a Weber law). For first-order stimuli, pedestal masking is specific to the spatial frequency of the test. On the other hand, motion-direction thresholds for texture-contrast stimuli are independent of pedestal amplitude (no gain control whatever) throughout the accessible pedestal amplitude range (from 0 to 40%). However, when baseline carrier contrast increases (with constant pedestal modulation amplitude), motion thresholds increase, showing that gain control in second-order motion is determined not by the modulator (as in first-order motion) but by the carrier. Note that baseline contrast of the carrier is inherently independent of spatial frequency of the modulator. The drastically different gain-control properties of the two motion systems and prior observations of motion masking and motion saturation are all encompassed in a functional theory. The stimulus inputs to both first- and second-order motion process are normalized by feedforward, shunting gain control. The different properties arise because the modulator is used to control the first-order gain and the carrier is used to control the second-order gain.

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1. INTRODUCTION

The human visual system functions over an enormous range of input light levels extending from extremely dim starlight (∼10⁻³ cd/m²) to very bright sunlight (∼10⁵ cd/m²). However, most visual phenomena are independent of absolute luminance level for an extremely wide range of luminances.¹ This is largely accomplished by preceding all the other visual processes with a mechanism of retinal adaptation²–⁸ that removes the mean luminance from the visual input and provides, to subsequent processes, only contrast—the fraction of the relative increase or decrease (with regard to the mean luminance of its neighborhood) of input light at each point in space.

Following light adaptation in the visual system, there is contrast gain control.⁹ Neurons in the visual pathway, beginning at the level of retina ganglion cells⁶ and continuing in the lateral geniculate nucleus¹⁰,¹¹ and the primary visual cortex,¹²–¹⁸ all demonstrate some degree of contrast gain control. Their responses do not increase with the input contrast beyond a certain level. The functional significance of this is that, once a stimulus achieves a critical level of contrast further increases in contrast do not affect the neural representation. It allows the brain to compute certain kinds of stimulus information without the distraction of irrelevant contrast variations. For example, judgments of the distance between two objects or of an object's velocity clearly would benefit from being independent of object contrast because contrast is irrelevant once it is sufficient to make the objects clearly visible. At the perceptual level, numerous visual tasks have indeed been shown to be independent of contrast¹⁹–⁲⁴ for contrasts above approximately 5–10%. In this paper, we are concerned with the contrast gain-control properties of the human visual motion system.

Much of our knowledge about the human perceptual motion mechanisms has been derived from psychophysical experiments at extremely low contrast levels.²⁵–⁵¹ At very low contrasts (e.g., less than 2%) one assumes that processing stages before motion extraction behave linearly with respect to motion stimuli, and therefore experiments with such stimuli focus on the nonlinear properties of the motion and subsequent decision mechanisms. However, in normal daily life we are confronted with perceptual tasks that involve the full range of contrasts. To completely describe or simulate human behavior in a natural environment, one must find ways to extend our knowledge obtained in near-threshold conditions to higher-contrast conditions. Studies of spatial and temporal contrast masking in pattern vision²⁴–³⁵ suggest that contrast gain control plays a major role in pattern vision.
Because it has been well documented that different visual pathways have distinct gain-control properties, we might expect contrast gain control in motion perception to be different from contrast gain control in other systems; and beyond that, we might expect different gain-control properties for different motion perception mechanisms.

We know of two studies of contrast gain-control (saturation) properties of the first-order (luminance-modulation) motion system. These are incomplete in various ways, and we consider them in some detail in Section 5. We know of no study of contrast gain control in the second-order (contrast-modulation) motion system. It is now believed that there are at least three quite different motion mechanisms. Here we offer an improved paradigm that enables us to isolate the first-order and the second-order motion mechanisms and to study the contrast gain-control properties of each independently.

2. THEORY

A. Reichardt and Motion-Energy Detectors

The Reichardt detector (Fig. 1), a basic motion-extraction unit in computational theories of motion perception, was originally developed for insect vision by Reichardt and was successfully adapted by van Santen and Sperling for human perception. It consists of two mirror-image subunits [e.g., left (L) and right (R)] tuned to opposite directions of motion. Subunit L multiplies the signal at spatial location A with the delayed signal from a rightward adjacent spatial location B. Subunit R multiplies the signal at spatial location B with the delayed signal from spatial location A. The output of each subunit is integrated for a period of time, and the direction of movement is indicated by the sign of the difference between the subunit outputs.

In terms of the overall system input–output transformations, Reichardt detectors can be proved to be equivalent to (a) motion energy detectors and (b) motion filters based on Hilbert transforms after they have been elaborated into a motion detector. Thus there is no loss of generality in considering Reichardt detectors.

B. First-Order and Second-Order Motion

When a Reichardt detector is applied to the raw or linearly filtered visual input, this application is called a first-order analysis. Indeed, first-order analysis provides an accurate account of motion perception for an enormous range of stimuli including some quite complex waveforms and quite counterintuitive predictions. However, Chubb and Sperling demonstrated clear motion perception in broad classes of (drift-balanced and microbalanced) stimuli constructed of drifting modulations of contrast, spatial frequency, texture type, or flicker (see also Refs. 51–55) whose motion was completely invisible to Reichardt detectors. Such stimuli were said to activate second-order motion mechanisms because Chubb and Sperling noted that spatiotemporal filtering plus some gross nonlinear preprocessing (e.g., absolute value or square-law rectification) before a Reichardt detector could expose the latent motion in drift-balanced and microbalanced stimuli.

C. Pedestal Immunity of Reichardt Detectors

Van Santen and Sperling proved mathematically that, for continuous, infinite-duration motion stimuli, Reichardt (and the equivalent motion-energy) detectors have two remarkable properties: (1) Pseudolinearity: The detector’s output to the sum of several sine waves with different temporal frequencies is the sum of its outputs to the individual sine components (this would not necessarily hold if the components had the same temporal frequencies—therefore only pseudolinearity). (2) Ignoring static sinewaves: The Reichardt detector’s output for any static sine-wave input—indeed, any static input—is zero.

Consider a pedestaled motion stimulus (Figs. 2c and 3c), that is, a compound stimulus resulting from linear superposition of a drifting sine wave (the motion stimulus) and a static sine wave of the same spatial frequency (the pedestal). A corollary from the properties of pseudolinearity and the ignoring of static displays is that the output of an elaborated Reichardt detector to a pedestaled stimulus is exactly the same as its output to the motion component alone. This is the pedestal immunity of Reichardt detectors.

The theorem that Reichardt detectors have pedestal immunity with infinitely long-duration continuous stimuli can be extended to temporally sampled, finite-duration motion stimuli. For a regularly sampled, finite-duration stimulus, pedestal immunity holds asymptotically when the following conditions are satisfied:

![Fig. 1. Elaborated Reichardt detector. It computes motion direction from two inputs that sample the visual display at two adjacent spatial locations A and B. SF1 and SF2 denote linear spatiotemporal filters (receptive fields) that may be different from each other. In the right (R) subunit of the detector, the output of SF1 is delayed by the temporal delay filter TF and then multiplied (×) by the direct output of SF2. The output of the multiplier is temporally averaged over a temporal window (defined by a linear filter TA) to produce the final output of the R subunit. In the L subunit of the detector, the output of SF2 is delayed by TF, multiplied (×) by the direct output of SF1, and temporally averaged by TA. The difference (R minus L) defines the output of the detector. Outputs greater than zero indicate stimulus motion from A to B; outputs less than zero indicate stimulus motion from B to A.](image-url)
the stimulus lasts one full temporal cycle plus one extra frame; (2) the time constant of the output filter (TA in Fig. 1) in the Reichardt model is long relative to a stimulus cycle.

D. Motion Pedestal Test

The pedestal immunity of Reichardt detectors can be used to determine whether motion perception is compatible with a Reichardt algorithm.\(^{30,31}\) If an observer computed motion direction by means of a Reichardt detector, the observers’ performance would be the same when the motion stimulus is shown alone as when it is pedestaled.

The pedestal test is a powerful paradigm for distinguishing between different motion-extraction mechanisms, because pedestal immunity is a rather unusual property. For example, a pedestaled stimulus with a pedestal:test amplitude ratio of 2:1 (Figs. 2c, 2f, 3c, and 3f) is made of sine waves of the same spatial frequency with a back-and-forth phase oscillation (across frames) equal to 1/6 of the spatial cycle. If the motion direction computation were based on stimulus features (peaks, valleys, zero crossings,\(^{36}\) etc.), the pedestaled stimulus would appear to wobble, and it would be impossible for subjects to judge the motion direction of the test component.

E. Pedestal Immunity of Human Observers: Small Pedestal Amplitudes

With small total modulation depth of the pedestaled stimulus, subjects’ performance in motion-direction discrimination is the same for pedestaled and nonpedestaled motion stimuli.\(^{30,31}\) This pedestal immunity holds exactly for first-order (luminance-modulation) and second-order (texture-contrast-modulation) motion.\(^{31}\) And pedestal immunity holds exactly whether the duration of the pedestal and motion stimuli together is extremely brief (1/16 s) or of any longer duration, so that selective temporal filtering of the pedestal is impossible and therefore cannot account for pedestal immunity. Pedestal immunity and several equally counterintuitive properties all add support to the hypothesis that Reichardt/motion-energy detectors are used in these motion computations.\(^{30}\)

F. Pedestal Immunity of Human Observers: Large Pedestal Amplitudes

With large pedestal amplitudes, the pedestal immunity of human observers can break down (see below). We con-
ceptualize the breakdown of pedestal immunity as a non-linear gain-control process that occurs before the motion computation itself. The alternative, that gain control occurs within the motion computation itself is not excluded, but it is computationally and conceptually much less attractive, and it is not necessary. In the study of amplitude saturation in the motion system, pedestal amplitude is the independent variable. We determine the threshold modulation of first-order (Fig. 2) and of second-order (Fig. 3) motion stimuli (each on its own type of pedestal) for pedestal amplitudes over a wide range.

3. GENERAL METHOD

A. Stimuli

Most visual phenomena in the study of motion perception are relatively independent of the absolute luminance level for an extremely wide range of luminances. Therefore it is convenient to define stimuli \( s(x, y, t) \) in terms of their point contrast:

\[
s(x, y, t) = \frac{L(x, y, t) - L_0}{L_0},
\]

where \( L(x, y, t) \) is the luminance at the point \((x, y, t)\) and \( L_0 \) is the mean luminance of the display area.

All the contrast functions \( s(x, y, t) \) considered here can be described as the product of a modulation function \( M(x, t) \) and a static carrier \( C(x, y) \) with the following properties:

1. The carrier is defined within a display window that is surrounded by a uniform background. The expected luminance is the same across the entire display.

2. For the luminance-modulation stimuli, \( C(x, y) = 1 \); for the texture-contrast-modulation stimuli, the expected mean contrast \( E[C(x, y)] = 0 \), but \( C(x, y) \) is a random variable that takes \(+1\) or \(-1\) with equal probability.

3. The modulator consists of linear summation of \((a)\) a baseline \( m_b \), \((b)\) a pedestal (a static sine wave with modulation depth \( m_p \)), and \((c)\) a drifting sine-wave grating with modulation depth \( m \). The static pedestal has spatial frequency \( \alpha \), temporal frequency \( \beta \); it is \( m_b \sin(2\pi x + \theta_p) \); the drifting sine wave is defined by \( m \sin[2\pi(\alpha x + \beta ft + \theta)] \). It moves leftward when
\[ \beta = 1 \text{ and rightward when } \beta = -1. \] It has modulation depth \( m \), initial phase \( \theta \), spatial frequency \( a \), and temporal frequency \( f \). The complete modulator is given by

\[
M(x, t) = m_b + m_p \sin(2\pi ax + \theta_p) + m \sin[2\pi(ax + \beta ft) + \theta].
\]

4. The modulator was regularly sampled four times during a stimulus cycle so that the phase shift of the motion component between successive frames was \( \pi/2 \) (90 deg). The duration of the modulator was five frames (the initial frame was repeated as the final frame).

B. Apparatus
All the displays were created in advance of a session by HIPS—an image-processing software package \(^{57,58}\) on a Unix computer (SunSparc II). Displays were presented on an IKEGAMI DM516 achromatic graphics monitor with a 20-in. diagonal screen, a P4 (fast white) phosphor, and 60-Hz vertical retrace, driven by a TrueVision AT-Vista video graphics adapter residing in an IBM-486PC-compatible computer. The C-language programs that controlled display scheduling and data collection were based on the Runtime Library software program.\(^{59}\)

The graphics system produces 4096 (12 bits) distinct gray levels with a dynamic range of 12.1 cd/m\(^2\) (when every pixel is assigned the lowest gray level) to 325 cd/m\(^2\) (when every pixel is assigned the highest gray level). The background luminance was made equal to the mid-gray level. The duration of the modulator was made equal to the mid-gray level. The point-contrast range of such displays is -0.93 to +0.93. A psychophysical calibration procedure was used to linearly divide the whole luminance range into 256 gray levels. When contrasts below 1% were required, a second lookup table was generated to create 16 gray levels between contrasts of ±0.0073.

All the displays were viewed binocularly with natural pupil at a viewing distance of 114 cm in a dimly lighted room (the average luminance of surfaces in the room was approximately 10 cd/m\(^2\)). The stimuli occupied the central 6.34 deg × 3.17 deg of a uniformly luminous CRT screen (\( L_0 = 169 \text{ cd/m}^2 \)) that extended 17.1 deg × 11.2 deg.

C. Procedure
The purpose of the procedure was to determine the threshold modulation depth \( m_{th} \) for correctly judging motion direction (L versus R) for each subject and each stimulus condition. The method of constant stimuli\(^{60}\) was used to generate psychometric functions. The 75%-correct point was estimated from each psychometric function. Psychometric functions consisting of five points were obtained for the two types of motion stimulus (luminance and texture-contrast), for each of the pedestal amplitudes and for the no-pedestal condition for different texture baseline contrasts and for each subject. At least 80 observations were made by each subject at every point on the psychometric functions.

D. Trials
The subject initiated a trial by pushing a button. A fixation point appeared immediately at the center of the display and remained on throughout the trial. It was followed in 0.5 s by the motion stimulus and the pedestal, which started concurrently, each with a random one of four phases. The stimulus presentation consisted of five frames (a full temporal cycle plus one extra frame) of the motion stimulus. The extra frame was added so that the last frame was always identical to the first frame. This removed any positional cue on which subjects could base their judgments and served to meet the sampling condition under which pseudolinearity holds for Reichardt detectors.\(^{51}\)

The subject’s task was to judge direction of movement (L or R). The judgment was made by pushing one of two buttons. The percent of correct (as defined \( a \) \textit{priori} by the experimenter) judgments of motion direction was the main dependent variable of all the experiments. Feedback was given to the subject immediately after each trial.

The three experiments all determined modulation-amplitude thresholds of the motion stimulus for 75%-correct motion-direction judgments. Experiment 1 measured luminance thresholds \( m_{th1} \) as a function of the amplitude \( m_{P1} \) of a luminance-modulation pedestal. Experiment 2 measured texture-contrast modulation thresholds \( m_{th2} \) as a function of the amplitude \( m_{P2} \) of a texture-contrast-modulation pedestal. Experiment 3 measured the threshold amplitude \( m_{th3} \) of a moving texture-contrast modulation as a function of the baseline texture-contrast modulation \( m_{P3} \). In each experiment, all stimulus conditions (pedestal amplitude \( m_{p} \) or baseline amplitude \( m_{b} \), pedestal phase \( \theta \), motion stimulus modulation depth \( m \), motion starting phase \( \theta \), and motion direction \( \beta \) ) were mixed within experimental blocks. A block normally consisted of about 360 trials and lasted approximately 20 min. Intermittences between blocks were ~5 min. Subjects normally were given a 2-min dark-adaptation period if they entered the test room from daylight. A session lasted approximately 2 h.

E. Subjects
A University of California, Irvine, graduate student (EB), naive to the purposes of the experiments, and the first author served as subjects in all the experiments. Both are male and have corrected-to-normal vision.

4. EXPERIMENTS
A. Experiment 1. Contrast Gain Control with First-Order Stimuli: Motion Threshold \( m_{th1} \) as a Function of Pedestal Amplitude \( m_{P1} \)
A first-order (luminance) stimulus was made of a rigidly drifting sine-wave grating (the motion stimulus) superimposed on a static sine-wave grating of the same spatial frequency (the pedestal). This is the kind of first-order stimulus whose motion direction can be computed directly by a Reichardt/motion-energy mechanism.\(^{30,51}\) It had been conjectured by Nakayama and Silverman\(^{20}\) that the luminance modulation motion system saturates at fairly
low contrasts (approximately 4–5%). However, in reexamining their theory, we found serious problems, which we consider in Section 5. Here we offer a different approach (the motion-plus-pedestal paradigm) to the study of motion gain control in the first-order (luminance) motion system.

In Experiment 1 we measure motion threshold $m_{th}$, as a function of pedestal amplitude $m_p$, for luminance-modulation stimuli. The notion is that the static pedestal activates only the contrast gain-control mechanism, which then reduces the effective input to the motion system.

1. Method

Luminance-modulation stimuli are completely described by the modulator function:

$$M_1(x, t) = m_{b} + m_p \sin(2\pi ax + \theta_p) + m_1 \sin[2\pi(ax + \beta ft + \theta)],$$

where the baseline amplitude $m_{b}$ is 0, the pedestal amplitude $m_p$ is 0, 0.0047, 0.093, 0.019, 0.037, 0.074, 0.15, 0.30, or 0.42, the pedestal phase $\theta_p = 0, \pi/4, \pi/2, \text{or } 3\pi/4$ with equal probability, and the initial phase of the motion stimulus $\theta$ is 0, $\pi/2, \pi, \text{or } 3\pi/4$ with equal probability. The spatial frequency $a$ of the sinewaves is 1.26 cycles per degree (c/deg) and the temporal frequency $f$ is 7.5 Hz. Successive frames of the motion component are separated by $\pi/2$. A stimulus presentation consists of five frames (one full cycle plus one frame). The phase shift of $\pi/2$ between adjacent frames vitiates any possible second-order contribution to the motion computation. (The full-wave rectification of second-order motion would double the spatial frequency; thereafter the phase shift of $\pi/2$ would become $\pi$, and that would produce only counterphase flicker, not motion.) The temporal frequency of the stimulus was chosen to be twice the cutoff frequency of the third-order (feature-saliency) motion system, so that third-order contributions also would be insignificant.31

2. Results

Figure 4 shows a log–log plot of the 75% threshold modulation $m_{th}$, for discriminating motion direction as a function of pedestal amplitude $m_p$. For both subjects, motion threshold is constant for pedestal amplitudes of less than ~2%, and increases in direct proportion to pedestal amplitude for larger pedestals. We now consider these results in more detail.

For subject ZL, $m_{th} = 0.0025$ for $m_p < 0.01$, and it increases with a slope of 1.012 for larger pedestals (a slope that is not significantly different than 1). For subject EB, $m_{th} = 0.0028$ when $m_p < 0.015$, and it increases with slope of 1.25 for larger pedestals. A pedestal amplitude of 0.015 is clearly visible and is $7\times$ above its own threshold. This pedestal amplitude is more than $5\times$ the threshold amplitude of the moving sine, so that the back-and-forth wobble of the pedestaled stimulus is less than 1/16 of a spatial period. Such a pedestal is quite sufficient to camouflage the linear movement. The first impression of the pedestaled stimulus is of a stationary pedestal; it requires a little practice to determine the direction of the moving component.

For small pedestals, these results complement our previous finding30,31 that subjects’ judgments of motion direction are equally accurate whether the motion stimulus is shown alone or is pedestaled. This finding argues strongly that motion direction of drifting luminance modulation is computed by the Reichardt class of detectors. We infer that when the total modulation depth is less than ~0.015, first-order motion signals are transmitted linearly before a Reichardt motion computation.

For pedestal amplitudes greater than ~3.7%, motion threshold is proportional to the amplitude of the pedestal, a Weber law. For subject ZL, $m_{th} = 0.39m_p$ ($r^2 = 0.9930, n = 5$). For subject EB, $m_{th} = 0.49m_p$ ($r^2 = 0.9973, n = 5$). Such large Weber constants (0.39, 0.49) indicate that the first-order motion system suffers severe nonlinear gain control. This nonlinear gain-control process is spatial-frequency specific: Lu and Sperling31 found that adding large-amplitude stationary white noise had no effect on subjects’ motion-direction-judgment performance. We defer further discussion of these results to Section 5.

B. Experiment 2. Contrast Gain Control with Second-Order Stimuli

1. Motion Threshold $m_{th}$ as a Function of the Amplitude $m_p$ of a Texture-Contrast Pedestal

Drifting texture-contrast modulation is a kind of second-order stimulus whose motion is not directly accessible to Reichardt motion-energy computations. The most plausible way to expose the latent motion signal in the stimu-
lusive is to preprocess the input with linear spatiotemporal filtering followed by rectification (e.g., absolute value or square-law) before a Reichardt-class detector. Here we are concerned with the question of whether, in addition to rectification, there also is a compressive, saturating nonlinearity before motion detection. To answer this question, we measured motion threshold \( m_{th2} \) as a function of pedestal amplitude \( m_{p2} \) for texture-contrast-modulation stimuli.

1. Method

To make a drifting texture-contrast stimulus, the contrast of the carrier (a stationary, random, binary noise texture) is multiplied by the modulator (one plus a drifting sine wave). A pedestal moving texture-contrast stimulus is created by linearly adding a static sine wave to the moving modulator before multiplying the modulator and carrier. The modulation function is

\[
M(x, t) = m_{b2} + m_{p2} \sin(2\pi ax + \theta_{p2}) + m_2 \sin(2\pi(ax + \beta f(t) + \theta)),
\]

where the baseline amplitude \( m_{b2} \) is 0.47; the pedestal amplitude \( m_{p2} \) is 0, 0.074, 0.15, 0.30, or 0.41; the pedestal phase \( \theta_{p2} \) is 0, \( \pi/4 \), \( \pi/2 \), or 3\( \pi/4 \) with equal probability; and the initial phase of the motion stimulus \( \theta \) is 0, \( \pi/2 \), \( \pi \), or 3\( \pi/4 \) with equal probability. The spatial frequency of the modulator \( a \) is 1.26 c/deg, and its temporal frequency \( f \) is 7.5 Hz. The motion component moves \( \pi/2 \) between frames. A stimulus presentation consists of five frames (one complete period plus one additional frame).

The texture-contrast modulation is a pure second-order stimulus: Its expected luminance is the same everywhere; its motion cannot be determined by motion-energy detection, and the fundamental Fourier motion components are useless.

2. Results

Figure 5 depicts the 75% threshold modulation \( m_{th2} \) for discriminating motion direction as a function of pedestal amplitude \( m_{p2} \) for both subjects. The maximum physically possible range of pedestal amplitudes (with constant baseline contrast) is 0.47; the maximum pedestal amplitude range obtainable on our apparatus was 0.40 (we need the extra 0.07 to add motion stimuli). Throughout the investigated pedestal amplitude range \((0.0 < m_{p2} < 0.40)\), motion threshold was virtually constant for both subjects \((m_{th2} = 0.032 \text{ for subject ZL, and } m_{th2} = 0.047 \text{ for subject EB})\). (For comparison, the very large changes in first-order thresholds are shown on the same graphs.) It is apparent that the texture-contrast motion system is immune to pedestals throughout the entire, very large, physically attainable amplitude range.

Immunity to pedestals complements our previous finding\(^{31}\) that subjects' performance in judging motion direction of drifting texture-contrast modulation is the same whether the motion stimulus is shown alone or pedestalaled. In second-order motion there is no restriction to small pedestals: There is pedestal immunity over the entire range of pedestal amplitudes. This argues strongly that motion direction of drifting texture-contrast modulation is computed by Reichardt-class detectors acting on the texture stimulus.

C. Experiment 3. Contrast Gain Control with Second-Order Stimuli: II. Motion Threshold \( m_{th2} \) as a Function of the Baseline Contrast Amplitude \( m_{b2} \) of a Texture-Contrast Pedestal

Experiment 2 established that threshold amplitude for judging motion direction of drifting texture-contrast modulation does not change with increasing pedestal amplitude. Does this mean that there is no contrast gain control in the texture-contrast motion system?

The texture-contrast pedestal, as described by Eq. (4), consists of a static baseline \( m_{b2} \), a static pedestal \( m_{p2} \sin(2\pi ax + \theta_{p2}) \), and a drifting sine-wave grating \( m_2 \sin(2\pi(ax + \beta f(t) + \theta)) \). In Experiment 2, only pedestal amplitudes \( m_{p2} \) were varied; the baseline amplitude \( m_{b2} \) was kept constant. This made the expected contrast energy (over the whole stimulus) a constant, independent

\[
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of pedestal amplitude. The results of Experiment 2 were consistent with a contrast gain-control mechanism that takes as its control signal the total contrast energy from all the spatial-frequency channels combined. To explore this possibility in Experiment 3 we varied the baseline contrast \(m_b\) to determine its effect on motion-direction thresholds.

1. Method
The modulation function is described by Eq. (5):

\[
M_3(x, t) = m_{b_3} + m_{p_3} \sin(2\pi ax + \theta_{p_3}) + m_3 \sin[2\pi(ax + \beta ft) + \theta].
\] (5)

All the stimulus parameters are the same as in Experiment 2, except that now pedestal amplitude \(m_{p_3}\) is zero and baseline amplitudes vary: \(m_{b_3} = 0.12, 0.23, 0.35, 0.47, 0.58, 0.70,\) or 0.81.

2. Results
Figure 6 shows 75% thresholds for motion-direction judgments of texture-contrast stimuli as a function of baseline contrast \(m_{b_3}\). For both subjects, thresholds seem to rise proportionally to baseline contrast (slope of \(-1\)) except for a pronounced dip in the neighborhood of 47% baseline contrast. An intriguing conjecture is that the many thousands of trials the observers viewed at \(m_b = 47\%\) baseline contrast lowered their thresholds at 50% baseline level relative to their less-well-practiced performances at other contrasts. This issue cannot be resolved now. For the moment, we merely observe that gain control in second-order motion perception is closely related to the energy in the carrier texture and apparently has no dependence whatever on energy in the masking modulator, even though a test modulator of the same spatial frequency as the modulator is being detected.

5. GAIN-CONTROL MODEL OF MOTION SATURATION
The overall plan of this section is to show that a simple formulation based on a feedforward shunting model of gain control, the sort originally proposed by Sperling and Sondhi with the added feature that the control signals are first rectified, accounts for the main results of these and similar experiments. Shunting inhibition is a concept that arises naturally from the mechanisms of neuronal inhibition, and it results in divisive gain control (as opposed to subtractive inhibition). The neuronal mechanism of shunting inhibition is a decrease in the time constant of an RC circuit as a result of a decrease in \(R\). Therefore it involves both a change in gain for low frequencies (gain = RC), and an increase in the cutoff frequency (RC)\(^{-1}\), i.e., a greater proportion of high frequencies in the response. As only the gain change is of concern here, shunting inhibition can be represented by an amplifier that has an input \(u(x, t)\) that is a function of space and time, as well as an output \(v(x, t)\) that also is a function of space and time, and the amplifier has a variable gain that is controlled by a third function \(w(x, t)\). For a gain-control amplifier at location \(x\), the equation for shunting inhibition is

\[
v(x, t) = \frac{u(x, t)}{k + w(x, t)},
\] (6a)

where the positive real constant \(k\) is a threshold above which the gain control becomes effective. In the equations for shunting inhibition, \(k\) simply represents the resting conductance of the cell membrane when there is no input.

To apply the basic shunting mechanism of Eq. (6a) to data, we need to specify the preprocessing of the visual stimulus that results in functions \(u(x, t)\) and \(w(x, t)\). The consecutive stages of processing are diagramed in Fig. 7a. These processing stages are described mathematically in the following paragraphs. Finally, there is a formal derivation that relates the processed signals to the statistics that are measured in the experiments. Thus the three elements that need to be brought into alignment are a block diagram representation, a mathematical representation, and a derivation of data statistics. Equation (6b) gives an overview of the preprocessing of the denominator of Eq. (6a) (the control signal), and Eq. (6c) gives an overview of the preprocessing of the numerator of Eq. (6a) (the test stimulus).

\[
w(x, t) = Fc[\text{test}(x, t) + \text{pedestal}(x, t)]
\] 1.

\[
\rightarrow Fc[\text{pedestal}(x, t)],
\] 2.

\[
u(x, t) = \text{test}(x, t) + \text{pedestal}(x, t) - \text{test}(x, t).
\] (6c)

In Eqs. (6), 1. and 2. indicate conditions that are described below. In Eq. (6b), \(Fc[\ ]\) is a functional that involves (i) spatiotemporal filtering (convolution with a spatiotemporal impulse response, e.g., a receptive field), (ii) rectification (raising the absolute value of the signal at each point in space and time to a power \(p\), \(||\|^p\)), and (iii) integration over a space–time window. Because rectification is a nonlinear process, the computation implied by \(Fc[\ ]\) can be quite complicated. However, the judicious choice of test stimuli and pedestals permits two major simplifications of Eqs. (6b) and (6c).

1. Both test\((x, t)\) and pedestal\((x, t)\) are spatial sinewaves. The test is a temporal sine wave, whereas the pedestal is simply turned on and then off (an all-positive temporal step function). Therefore the rectification operation in \(Fc[\ ]\) doubles the temporal frequency of the test but not of the pedestal. These double and higher temporal frequencies average out in each half-cycle of the test, and therefore test\((x, t)\), on the average, contributes zero to \(w(x, t)\). So, although both test\((x, t)\) and pedestal\((x, t)\) appear within \(Fc[\ ]\), we can ignore the test component and regard \(w(x, t)\) as a function only of the pedestal\((x, t)\).

2. Because of pedestal immunity, the response of the motion system depends only on test\((x, t)\). Thus, in computing the effective input to the motion system, we ignore pedestal\((x, t)\) in the numerator of Eq. (6a).

With the simplifications resulting from conditions 1 and 2 above, after contrast gain control, the effective input \(v_{\text{eff}}(x, t)\) to the motion system is simply
The test and the pedestal of Eqs. (7a) and (7b), respectively, are defined only within a spatiotemporal window and are zero elsewhere.

Substituting Eqs. (7a) and (7b) into Eq. (6d) and noting that, at the 75% threshold in Experiment 1, \( v_{eff}(x, t) = v_{75} \sin(\alpha x \pm ft) \), we obtain

\[
v_{eff}(x, t) = \frac{m_{test,75}(m_{ped})}{k + Fc[m_{ped} \sin(\alpha x)]} \sin(\alpha x \pm ft) = v_{75} \sin(\alpha x \pm ft),
\]

which simplifies to

\[
v_{75} = \frac{m_{test,75}(m_{ped})}{k + Fc[m_{ped} \sin(\alpha x)]}.
\]

The denominator can be simplified further:

\[
Fc [m_{ped} \sin(\alpha x)] \approx m_{ped}^\eta.
\]

This is because the rectification operation in Fc[] (Figs. 7a and 7b) is a pointwise
nonlinearity. It takes the \( \eta \)th power of the absolute value of its input. This rectification process doubles the spatial frequencies of sine-wave gratings \( m_{\text{ped}} \sin(\alpha x) \). Subsequently, large receptive fields sum over several cycles, thereby minimizing variations of \( F_{\text{pedestal}(x,t)} \) with \( x \); so we drop the \( x \). However, spatial summing also introduces an apparent \( \eta' \), which is different from \( \eta \) when \( \eta \neq 1 \). Spatial averaging over the sine pedestal after it has been raised to the \( \eta \) power is equivalent to simply taking the \( \eta' \) power of the peak amplitude where \( \eta' < \eta \) when \( \eta > 1 \) and \( \eta' > \eta \) when \( \eta < 1 \). Eliminating \( x \) and the sine components now yields

\[
v_{75} = \frac{m_{\text{test},75}(m_{\text{ped}})}{k + \lambda m_{\text{ped}}^\eta} \tag{7e}
\]

Because at 75% threshold \( v_{75} \) is a constant, it can be absorbed into two new constants \( k' = v_{75}k \) and \( \lambda' = v_{75}\lambda \), yielding

\[
m_{\text{test},75}(m_{\text{ped}}) = k' + \lambda' m_{\text{ped}}^\eta \tag{7f}
\]

In a log–log graph of \( m_{\text{test},75}(m_{\text{ped}}) \) versus \( m_{\text{ped}} \) (as in Fig. 4), Eq. (7f) describes the intersection of two asymptotes. The constant \( k' \) is the level of the horizontal asymptote, \( \eta' \) is the slope of the diagonal asymptote, and the asymptotes intersect at \( m_{\text{ped}} = (\lambda'/k')^{-\eta} \), the reciprocal of the generalized Weber fraction. The generalization of adding a slope parameter \( \eta' \) to Eq. (7f) has been sufficient to encompass data from a variety of quite different experiments.8,9,18,34,47

The plan of the remainder of this section is as follows: (1) to show that Eq. (7f) (generalized shunting gain control) accounts nicely for the results of Experiment 1 (first-order motion masked by pedestals); (2) to increase the scope of the model by considering pedestal and related masking paradigms in spatial vision with static stimuli; and (3) to consider motion stimuli that are masked by a variety of stimuli similar to but different from our pedestals. This enables us, in principle, to specify the model. (4) The first-order model is then shown to give a better, physiologically plausible account of two-flash experiments for which a quite different, nonphysiological model30 had been proposed. (5) We then propose a model for second-order motion experiments that is analogous to the first-order model except that the gain is controlled by the carrier instead of by the modulator.

A. Minimal Model for First-Order Motion Pedestal Results

In all the models of Fig. 7, the input to the motion detector passes first through a stage of light adaptation (A), which divides the stimulus by the space–time average luminance value.9 From this point on, the stimulus is represented by its local contrast, the normalized deviation of luminance at a point \( x, y \) from the average luminance. Subsequently, before any motion computation, the stimulus passes through a feedforward gain-control mechanism that is the present focus.

Figures 7a and 7c illustrate the models for gain control in the first- and the second-order motion systems, respectively, that were derived from the present experiments. Figure 7b illustrates a model for gain control derived by us from the motion-masking experiments of Anderson et al.,37 which used quite different procedures. The first-order models in Figs. 7a and 7b are extremely similar. Both illustrate that quite different paradigms produce data that are accounted for by quite similar models and that each paradigm enables estimation of different components. The model derived from pedestal experiments is considered first.

1. The Model for Experiment 1

The model of Fig. 7a is derived from Experiment 1, in which static pedestals masked first-order motion stimuli. The spatiotemporal characteristics of effective masking stimuli are determined by a linear spatiotemporal filter, \( F_2 \), illustrated as a Gabor spatial filter. Such filters are approximations of the receptive fields of simple cells in area V1 of the occipital cortex. Simple cells occur with plus-center and minus-center receptive fields (i.e., positive and negative copies of filter \( F_2 \) as well as other phases), and they are distributed throughout space. In the brain, plus-center and minus-center neurons, like most neurons that communicate by means of neural firing rate, act like half-wave rectifiers, responding primarily positively by increasing their firing rate but being unable to reduce it below zero. In the brain, the outputs of plus-center and minus-center simple cells can be added to produce full-wave rectification or subtracted to produce an apparently linear response.9 In the model of Fig. 7a, rectification is represented by the absolute value of the input raised to the power 1.1.

The rectified outputs of filters in the neighborhood of the motion detector are combined by means of a weighting function over space and time, indicated by the double integral. It is critical that the signal be rectified before integration. A moving sinusoid has a different phase at each different spatial location, which induces differently phased outputs of the filters at different spatial locations. If these differently phased filter outputs were simply to be added linearly over space and time, the conglomeration of positive and negative quantities would approximately cancel, and the expected value of such a sum would be zero. To produce a nonzero signal that indicates the total amount of stimulation, rectification must precede linear addition.

The motion detector (a Reichardt or equivalent motion-energy algorithm) computes the motion direction of its gain-controlled input. For sine-wave inputs, its output is proportional to \( m^2 \), the square of the sine amplitude.

In addition to noise that is inherent in the stimulus itself, the uncertainty of perceptual judgments is represented by the addition of noise \( \epsilon \) to the signal before the decision process. The decision mechanism uses a simple real-valued criterion to decide whether the support for motion rightward exceeds the support for motion leftward.

2. Relating the Model to Data

The data from Experiment 1 are the mean threshold modulation amplitudes \( m_{\text{test},75} \) of a drifting sinusoid as a function of the various pedestal amplitudes \( m_{\text{ped}} \) on which it is superimposed.
The constant $\eta$ reflects a fundamental characteristic of the rectifier. When $\eta = 1$ then also $\eta' = 1$. However, for sine-wave stimuli, when $\eta < 1$ then $\eta' > \eta$, and when $\eta > 1$ then $\eta' < \eta$. This is not a large effect, but it does mean that the value of $\eta$ in the model of Fig. 7b (0.83) is larger than the value of $\eta'$ (0.73) in the data that is produced it.

For the two observers in Experiment 1, the threshold value $k'$ is 0.0025 and 0.0028. The constant $\lambda'$ determines the knee of the threshold versus pedestal-intensity function in Fig. 4. In Experiment 1, $\lambda'$ takes the values 0.35 and 0.70 for the two observers. For the data of Experiment 1, the slopes $\eta'$ for the two subjects (Fig. 4) were 1.01 and 1.25, neither of which differed significantly from 1.0. Obviously, the rectification function is approximately linear, but the precise shape varies slightly from observer to observer. The average slope $\eta \approx \eta' \approx 1.1$ is indicated in Fig. 7a.

The model of Fig. 7a accounts for the main findings of Experiment 1. However, Experiment 1 contains no spatial variation in the configuration of masking or test stimuli, so it tells us nothing about the filters $F_1$ and $F_2$ nor about the parameters of the summation over space and time of the motion signal. Data that might determine the putative first-order motion components are available from several experiments$^{30,31,63,64}$ that measured absolute contrast thresholds for drifting sine waves. Very low contrast sine gratings are visible only to the first-order mechanism. However, because there undoubtedly are many channels of different spatial frequencies acting together, simple threshold data fail to define $F_1$; they merely define the properties of the mixture of filters that is active in a particular experiment. To learn more, we need to enlarge the scope of inquiry.

B. Pedestals Under Static Stimuli

We begin by considering the possible relevance to gain control in motion from what has been learned from the masking of static stimuli. For example, the pedestal method had been used in pattern recognition to study sine-wave detection in the presence of various masking sine waves.$^{32-34}$ For example, Legge and Foley$^{34}$ measured luminance contrast threshold of a 2-cdeg sine-wave grating in the presence of masking sine-wave gratings at various spatial frequencies and contrasts. One of their conditions, in which the masking and the test sine-wave gratings had the same spatial frequencies, is similar to our pedestal condition. They found that (1) at low contrasts (between 0.05% and 0.8%), a pedestal increases the detectability of the signal (a previously observed facilitation effect$^{32,53,65,66}$) and (2) at higher contrasts (between 3.2% and 51.2%), the threshold $C_t = k C^{0.538}$, where $k$ is a positive constant and $C$ is the contrast of the masking sine-wave grating. It is apparent that the gain-control properties of pattern vision are somewhat different from those of the luminance motion system measured in Experiment 1. This seems to reflect a significant difference between form and motion vision.

C. Jittering and Moving Masking of First-Order Motion Stimuli

Anderson et al.$^{37}$ measured thresholds for judging motion direction of luminance-modulation sine waves under masks that varied in both spatial frequency and orientation. They measured motion threshold for a 3-cdeg drifting sine-wave luminance modulation in the presence of jittering sine-wave gratings of the same spatial frequency and various modulation depths $m_p$, ranging from 0.075 to 0.42. They found that, in the masking contrast range under investigation, motion threshold was proportional to $m_p^{0.73}$ (Eq. (7f)). When the jittering mask was replaced with a moving mask (motion-masking motion), there was increased masking and narrower spatial tuning. The model that we propose to account for their results (Fig. 7b) is identical in terms of the types and connections of components to the model proposed for our Experiment 1 (Fig. 7a). However, their extensive data with jittering pedestals can specify the filter $F_2$, and the comprehensive measurements of the additional masking produced by their motion masksers can specify filter $F_1$.

Anderson et al.$^{37}$ found that the masking by jittering masks increased with the 0.73 power of the input amplitude ($\eta' = 0.73$). We noted above that the exponent $\eta = 0.83$ in the data implied that $\eta = 0.83$ in the process model. There are too many differences in stimulus configuration between the static pedestals of our Experiment 1 and the dynamically jittering maskers of Anderson et al. for us to know what might be responsible for the difference between exponents (0.83 versus 1.1). In this context, it would have been especially useful if Anderson et al. had studied mask contrasts below 0.075.

Anderson et al. found that moving masks have greater spatial-frequency selectivity than jittering masks. This tells us that the spatiotemporal selectivity of the motion detector (filter $F_1$ in Fig. 7b) is greater than that of the gain control mechanism (filter $F_2$ in Fig. 7b), something that could not be revealed by the procedure of Experiment 1. Anderson et al. also observed that moving masks are more effective masks than jittering masks. In the model of Fig. 7b, this is accounted for within the motion detector and the decision apparatus. Moving maskers exert a double masking effect: through the gain-control path and within the motion component itself. Extracting the signal motion from masked motion display ultimately is a problem that devolves on the decision/velocity mechanism. In the case of motion masking, the decision component must determine the difference between two velocities (mask plus test and mask alone); it cannot report merely the direction of movement.

D. Application of the Gain-Control Model to Two-Flash Presentations

1. An Asymmetric Saturation Theory

Nakayama and Silverman$^{20}$ measured motion-direction discrimination in two-flash displays of sine gratings that translated $\theta$ degrees between flashes. As $\theta$ departed from 90 deg, observers could compensate the loss of discriminating ability by increasing the modulation amplitude of the gratings. However, for $\theta$ sufficiently near 0 or near 180 deg, no increase in amplitude was sufficient. These observations led Nakayama and Silverman to a theory of motion saturation to account for their results. Let $m_1$ represent the modulation amplitude of the first grating and $m_2$ the modulation amplitude of the second grating.
Their theory states that, in the absence of saturation, the output $y$ of the motion detector is

$$y = \alpha m_2 \sin(\theta),$$  

where $\alpha$ is a positive constant. If for a small $\theta$ the threshold modulation amplitude $m_2$ exceeds what is predicted by Eq. 8, the loss is ascribed to contrast saturation. Accordingly they derived a motion contrast-saturation function that we designate $g_N(m_2) = m_{eff}$, which gives the net effective contrast $m_{eff}$ after saturation has occurred (after gain control in our terminology). The proposed saturation function $g_N(m)$ was $g_N(m) = m$ for $m \leq 0.04$ and $g_N(m) = 0.04$ for $m > 0.04$.

Nakayama and Silverman's theory gives excellent fits to their data, but it has a singular problem: In their experiments, $m_1$ and $m_2$ were always equal, so a theory in which only $m_2$ appears is acceptable. In general, however, such a theory would be absurd because both flashes contribute, and the amplitude $m_1$ of the first flash cannot be ignored. Unfortunately, their particular calculation is intrinsically asymmetrical with respect to the two flashes. It does not generalize and it does not represent a plausible physiological process.

2. Gain Control Plus Reichardt Motion Detector

The output of a Reichardt model for two-flash displays is proportional to $m_1m_2\sin(\theta)$ and is intrinsically completely symmetrical with respect to the two flashes. When we take into account a contrast gain-control function $g_1$, the Reichardt model predicts an output to a double flash proportional to $g_1(m_1)g_1(m_2)\sin(\theta)$. For the special case of two equal-contrast flashes, $m_1 = m_2 = m$. At the point where the output is just at the threshold for 75% correct, we have for the two theories

$$\text{Reichardt} + \text{Expt. 1} \quad \text{Nakayama–Silverman}$$

$$v_{75} = \beta g_1(m)g_1(m)\sin(\theta) = \alpha G_N^2(m)\sin(\theta).$$  

In Eq. (9), positive constants $\alpha$ and $\beta$ are needed to scale the output, because the choice of $v_{75}$ is arbitrary. It is apparent immediately from Eq. (9) that, for the special case of two equal flashes, the two theories can be made equivalent if we choose $g_1(m) = G_N^2(m)^{0.5}$. However, because of its aberrant behavior for small $m$, $G_N(m)^{0.5}$ is not a reasonable choice of $g_1(m)$. The question that we pose is the following: Does the model of Fig. 7a, a Reichardt detector with the gain-control function measured in Experiment 1, predict the Nakayama–Silverman data? (See Fig. 8.)

Equations (7) describe the gain-control mechanism of contrast saturation. The parameters for Eqs. (7) derived from Experiment 1 (pedestal masking of motion) are $k' = 0.0025$, $\eta' = 1.01$, and $\lambda' = 0.35$, for subject ZL. Experiment 1 used a five-frame moving sinusoid with a spatial frequency of 1.26 c/deg. Nakayama and Silverman presented two frames of a 2-c/deg grating, and their temporal parameters were different. These differences in procedure and subjects resulted in a slightly higher threshold. In Eqs. (7) the slight overall increase in threshold is represented by a slight reduction of $k'$ and $\lambda'$ (multiplying $k'$, $\lambda'$ by 0.95, the square root of the sensitivity change). Using these modified values yields the predictions of the Nakayama–Silverman data shown in Fig. 8, which also shows the original data and theory.

With just one free parameter to adjust for the slightly different overall thresholds in the two experiments, the shunting-plus-Reichardt model accounts for the two-flash data even better than the original Nakayama–Silverman computation ($r^2 = 0.992$ in the Nakayama–Silverman model, $r^2 = 0.993$ in shunting-plus-Reichardt).

3. Conclusions: Two-Flash Predictions

Let $g_1(m)$ be the output modulation of the gain-control function of the shunting-plus-Reichardt model when the input modulation is $m$. Let $G_N^2(m)$ be the corresponding Nakayama–Silverman gain-control function. Then (1) choosing $g_1(m)$ so that $g_1(m) = G_N^2(m)^{0.5}$ makes the shunting-plus-Reichardt and the Nakayama–Silverman model computationally equivalent within the symmetric two-flash experiment for which the Nakayama–Silverman model was derived. (2a) The Nakayama–Silverman model cannot be generalized even to two flashes of different contrasts, and so it is rejected. (2b) The shunting-plus-Reichardt model is a fully computational process model and generalizes to any stimulus configuration, but the gain-control function $g_1(m) = G_N^2(m)^{0.5}$ is unreasonable, and so this form of $g_1(m)$ is rejected. (3) Using instead the gain-control function derived from the first-order motion pedestal experiment together with the Reichardt model yields fits of the Nakayama–Silverman two-flash data that are as good as any previous fits, even though the components and the parameters of this model (contrast gain control from Experiment 1, motion detection by means of the Reichardt model) were derived independently of the two-flash data. (4) The identical model accounts for both the pedestal masking data and the two-flash threshold data; a model differing only slightly in the parameter $\eta'$ [of Eqs. (7)] accounts for a great variety of other motion-masking mask-
E. Model for Motion Gain Control in the Second-Order System

In second-order motion, the motion signal is carried by a moving modulator imposed on a carrier texture. Experiment 2 showed that even a very-high-amplitude pedestal (a modulator of the same spatial frequency as the motion stimulus) failed to mask the motion stimulus. Experiment 3 showed that motion masking did occur when carrier amplitude was varied. It is astounding that the actual pattern being detected, the drifting sine grating, cannot be masked by a static sine grating of the same spatial frequency, but that, in fact, is a counterintuitive property of Reichardt (motion-energy) detectors. The failure of pedestals to mask held only for a limited range of pedestals in first-order stimuli because of prior gain control. In second-order motion detection, the prior gain control is not sensitive to the pedestal modulation, and therefore masking failure holds over the entire range of physically obtainable pedestals. Given these two facts (1) masking by a static carrier texture and (2) no masking by a static modulator, construction of a model of second-order motion gain control is quite straightforward.

Figure 7c shows a model of the second-order motion system. The carrier signal is carried by all the different frequency channels that happen to be stimulated by the carrier texture. Each channel is indicated as having a radially symmetrical center-surround field. Only three are shown, but there is assumed to be a continuum of such channels. In each local spatial area, the output of each channel is rectified, and the sum of all these rectified outputs is summed to compose the internal representation of the carrier (for the purposes of motion computation). This summed signal in the second-order system is now equivalent to a luminance signal in the first-order system. It has a direct path to the motion detector, which detects moving modulations that may be superimposed on it. This same carrier signal, spatially weighted to represent the neighborhood in which detection is occurring but without any further modification, also serves as the controlling signal in the gain-control pathway. The second-order system is structurally equivalent to the first-order system; the only differences are in how stimuli are preprocessed before gain control and motion detection.

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